



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,261	03/22/2001	Kelly D. Branham	11302-1160 (44040-256044)

## CONFIRMATION NO. 6495

## FORMALITIES LETTER



\*OC000000006032624\*

Robert E. Richards, Esq.  
KILPATRICK STOCKTON LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, GA 30326

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$938.
  - \$378 for 21 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1778.

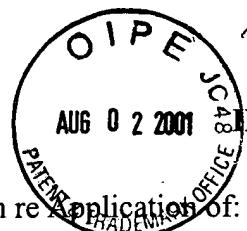
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08/06/2001 NYUSUF1 00000056 09815261  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3 Sector  
PATENTS

AUG 02 2001 IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of: BRANHAM ET AL.

Docket No. 11302-1160 (44040-256044)

Serial No. 09/815,261

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC POLYMERS, A METHOD OF  
MAKING SAME AND ITEMS USING SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

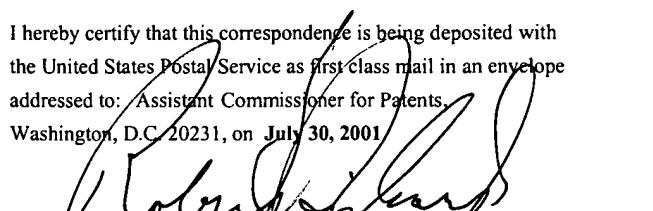
Transmitted herewith are papers in the above-identified application.

- Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.
- Declaration and Power of Attorney (3 pages).
- Petition for Extension of Time Under 37 C.F.R. § 1.136.
- The additional fee is calculated as shown below.
- Applicant claims small entity status.

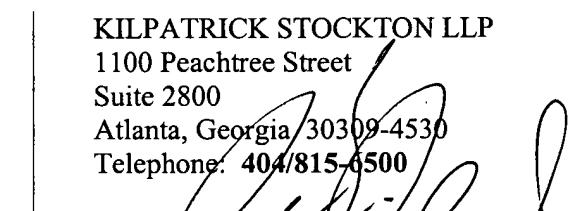
FOR:	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE			\$355		\$710	710
TOTAL CLAIMS	41 - 20 =	21	x9 =		x18 =	378
INDEP. CLAIMS	10 - 3 =	7	x40 =		x80 =	560
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =		+130 =	130
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130=		+130=	
<input type="checkbox"/> OTHER					+435=	
				TOTAL		TOTAL \$1,778

- A check in the amount of \$1,778 is attached for the filing fee.
- A check in the amount of \$110 is attached for the extension of time.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on July 30, 2001.

  
Robert E. Richards

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By: 

Robert E. Richards  
Attorney for Applicant  
Reg. No. 29,105